

BrokerCheck Report

JEREMIAH COLE THOMPSON

CRD# 6253824

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - o information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - o information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

**JEREMIAH C. THOMPSON**

CRD# 6253824

Currently employed by and registered with the following Firm(s):

IA OSAIC WEALTH, INC.
 9099 RIDGEFIELD DRIVE
 SUITE 101
 FREDERICK, MD 21701
 CRD# 23131
 Registered with this firm since: 11/03/2023

B OSAIC WEALTH, INC.
 9099 RIDGEFIELD DRIVE
 SUITE 101
 FREDERICK, MD 21701
 CRD# 23131
 Registered with this firm since: 11/03/2023

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications**This broker is registered with:**

- 1 Self-Regulatory Organization
- 22 U.S. states and territories

This broker has passed:

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

Registration History**This broker was previously registered with the following securities firm(s):**

- IA FSC SECURITIES CORPORATION**
 CRD# 7461
 ATLANTA, GA
 03/2016 - 11/2023
- B FSC SECURITIES CORPORATION**
 CRD# 7461
 FREDERICK, MD
 12/2014 - 11/2023

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

Broker Qualifications



Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 22 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **OSAIC WEALTH, INC.**
 Main Office Address: **18700 N. HAYDEN ROAD
 SUITE 255
 SCOTTSDALE, AZ 85255**
 Firm CRD#: **23131**

SRO	Category	Status	Date
B FINRA	General Securities Representative	Approved	11/03/2023

U.S. State/ Territory	Category	Status	Date
B Arkansas	Agent	Approved	03/21/2025
B California	Agent	Approved	11/03/2023
B Colorado	Agent	Approved	03/14/2025
B District of Columbia	Agent	Approved	11/03/2023
B Florida	Agent	Approved	02/14/2024
B Georgia	Agent	Approved	11/03/2023
B Idaho	Agent	Approved	11/03/2023
B Iowa	Agent	Approved	07/02/2024
B Kansas	Agent	Approved	11/03/2023
B Maryland	Agent	Approved	11/03/2023
IA Maryland	Investment Adviser Representative	Approved	11/03/2023
B Massachusetts	Agent	Approved	11/03/2023

Broker Qualifications



Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	Minnesota	Agent	Approved	11/03/2023
B	Missouri	Agent	Approved	11/03/2023
B	New Mexico	Agent	Approved	11/03/2023
B	New York	Agent	Approved	06/18/2024
B	Ohio	Agent	Approved	11/03/2023
B	Pennsylvania	Agent	Approved	11/03/2023
B	Rhode Island	Agent	Approved	11/03/2023
B	Texas	Agent	Approved	02/25/2025
IA	Texas	Investment Adviser Representative	Approved	04/17/2025
B	Utah	Agent	Approved	11/03/2023
B	Virginia	Agent	Approved	11/03/2023
B	Washington	Agent	Approved	11/03/2023

Branch Office Locations

OSAIC WEALTH, INC.
 9099 RIDGEFIELD DRIVE
 SUITE 101
 FREDERICK, MD 21701



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination	SIE	10/01/2018
B General Securities Representative Examination	Series 7	12/15/2014

State Securities Law Exams

Exam	Category	Date
B IA Uniform Combined State Law Examination	Series 66	03/10/2016

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
IA 03/2016 - 11/2023	FSC SECURITIES CORPORATION	7461	FREDERICK, MD
B 12/2014 - 11/2023	FSC SECURITIES CORPORATION	7461	FREDERICK, MD

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
11/2023 - Present	OSAIC WEALTH, INC.	Mass Transfer	Y	FREDERICK, MD, United States
02/2022 - Present	KRAMER FINANCIAL, LLC DBA KRAMER WEALTH MANAGERS	Financial Advisor	Y	FREDERICK, MD, United States
05/2013 - Present	KRAMER FINANCIAL, LLC DBA KRAMER WEALTH MANAGERS	CUSTOMER SERVICE REPRESENTATIVE	Y	FREDERICK, MD, United States
02/2022 - 11/2023	FSC SECURITIES CORPORATION	Registered Advisor	Y	FREDERICK, MD, United States
03/2014 - 02/2022	FSC SECURITIES CORPORATION	REGISTERED ASSISTANT	Y	FREDERICK, MD, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1. KRAMER FINANCIAL, LLC DBA KRAMER WEALTH MANAGERS

POSITION: Financial Advisor NATURE: Limited Liability Company INVESTMENT RELATED: Yes NUMBER OF HOURS: 160 SECURITIES

TRADING HOURS: 140 START DATE: 09/23/2013

ADDRESS: 9099 Ridgfield Dr Ste 101, Frederick MD 21701, United States

DESCRIPTION: Financial Advisor for Kramer Financial DBA Kramer Wealth Managers. Also, I provide the support to other advisors within Kramer Wealth Managers.



Registration and Employment History

Other Business Activities, continued

2. JEREMIAH THOMPSON

POSITION: Insurance Agent NATURE: LLC INVESTMENT RELATED: Yes NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 03/01/2022

ADDRESS: 9099 Ridgefield Drive, Suite 101, Frederick MD 21701, United States

DESCRIPTION: Sell fixed insurance products

3. FYIFI

POSITION: Founder NATURE: Currently, it is just a marketing name with the goal of becoming a non-profit organization in future. No formal business has been formed. INVESTMENT RELATED: Yes NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 10 START DATE: 04/20/2022

ADDRESS: 9099 Ridgefield Drive, Suite 101, Frederick MD 21701, United States

DESCRIPTION: Content creator and manage the website, www.fyifi.org. This is a financial literacy website that provides content in American Sign Language.

4. GALLAUDET UNIVERSITY

POSITION: Adjunct Professor NATURE: University (Education) INVESTMENT RELATED: Yes NUMBER OF HOURS: 50 SECURITIES TRADING HOURS: 36 START DATE: 08/01/2023

ADDRESS: 800 Florida Ave NE, Washington DC 20002, United States

DESCRIPTION: Teach the Investments course for college students at Gallaudet University

End of Report



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