

# **BrokerCheck Report**

# **DAVID DONALD REDFERN**

CRD# 6264425

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When communicating online or investing with any professional, make sure you know who you're dealing with. <u>Imposters</u> might link to sites like BrokerCheck from <u>phishing</u> or similar scam websites, or through <u>social media</u>, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

#### About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

#### What is included in a BrokerCheck report?

- BrokerCheck reports for individual brokers include information such as employment history, professional
  qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck
  reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the
  same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- Where did this information come from?
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - o information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - o information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- How current is this information?
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- What if I want to check the background of an investment adviser firm or investment adviser representative?
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- Are there other resources I can use to check the background of investment professionals?
- FINRA recommends that you learn as much as possible about an investment professional before deciding
  to work with them. Your state securities regulator can help you research brokers and investment adviser
  representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

www.finra.org/brokercheck

### **DAVID D. REDFERN**

CRD# 6264425

Currently employed by and registered with the following Firm(s):

B AVANTAX INVESTMENT SERVICES, INC. 4780 MSSION BVD SAN DIEGO, CA 92109 CRD# 13686 Registered with this firm since: 05/19/2014

# **Report Summary for this Broker**



This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

### **Broker Qualifications**

#### This broker is registered with:

- 1 Self-Regulatory Organization
- 15 U.S. states and territories

### This broker has passed:

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

### **Registration History**

This broker was previously registered with the following securities firm(s):

No information reported.

### **Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? Yes

The following types of disclosures have been reported:

Туре	Count	
Regulatory Event	3	
Criminal	4	

### **Broker Qualifications**



# Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 15 U.S. states and territories through his or her employer.

# **Employment 1 of 1**

Firm Name: **AVANTAX INVESTMENT SERVICES, INC.** 

Main Office Address: 3200 OLYMPUS BLVD

**SUITE 100** 

**DALLAS, TX 75019** 

Firm CRD#: 13686

	SRO	Category	Status	Date
B	FINRA	Invest. Co and Variable Contracts	Approved	05/19/2014
	U.S. State/ Territory	Category	Status	Date
B	Arizona	Agent	Approved	03/19/2018
B	California	Agent	Approved	06/13/2014
B	Colorado	Agent	Approved	04/25/2018
B	Hawaii	Agent	Approved	03/13/2018
B	Idaho	Agent	Approved	03/14/2018
B	Indiana	Agent	Approved	03/14/2018
B	Maryland	Agent	Approved	03/15/2018
B	Nevada	Agent	Approved	03/22/2018
B	North Carolina	Agent	Approved	03/21/2018
B	Oregon	Agent	Approved	04/17/2018
B	Pennsylvania	Agent	Approved	03/14/2018
B	South Carolina	Agent	Approved	03/16/2018

# **Broker Qualifications**



# **Employment 1 of 1, continued**

	U.S. State/ Territory	Category	Status	Date
B	Utah	Agent	Approved	03/27/2018
B	Virginia	Agent	Approved	04/03/2025
B	Washington	Agent	Approved	03/28/2018

# **Branch Office Locations**

AVANTAX INVESTMENT SERVICES, INC. 4780 MSSION BVD SAN DIEGO, CA 92109 www.finra.org/brokercheck

### **Broker Qualifications**



### **Industry Exams this Broker has Passed**

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

# **Principal/Supervisory Exams**

Exam	Category	Date
No information reported.		

# **General Industry/Product Exams**

Exam		Category	Date
B	Securities Industry Essentials Examination	SIE	10/01/2018
В	Investment Company Products/Variable Contracts Representative Examination	Series 6	05/17/2014

### **State Securities Law Exams**

Exam		Category	Date
B	Uniform Securities Agent State Law Examination	Series 63	06/12/2014

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

www.finra.org/brokercheck
User Guidance

# **Broker Qualifications**



# **Professional Designations**

This section details that the representative has reported **0** professional designation(s).

No information reported.

# **Registration and Employment History**



### **Registration History**

The broker previously was registered with the following firms:

Registration Dates Firm Name CRD# Branch Location

No information reported.

### **Employment History**

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

<b>Employment</b>	Employer Name	Position	Investment Related	<b>Employer Location</b>
09/2024 - Present	POA for my Brother Mark Redfern	Dual POA with his wife Allison Redfern	Υ	San Diego, CA, United States
07/2022 - Present	Avantax Insurance Agency and/or Avantax Insurance Services.	Representative/Produc er	Υ	San Diego, CA, United States
10/2013 - Present	AVANTAX INVESTMENT SERVICES, INC.	REGISTERED REPRESENTATIVE	Υ	SAN DIEGO, CA, United States
10/2013 - Present	CAPITAL BUSINESS & TAX SERVICE	TAX PREPARER	Υ	SAN DIEGO, CA, United States
03/2005 - Present	BEACH CITIES COATINGS	OWNER	Υ	SAN DIEGO, CA, United States

### **Other Business Activities**

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

- 1) Beach Cities Coatings~'NIR'~5289 Soledad Mountain Road~San Diego~CA~92109~Other~Owner/Sole Proprietor~10/1/2013~160hrs~120hrs~Book Keeping, Estimating
- 2) Capital Business & Tax Service~'NIR'~4780 Mission Blvd~San Diego~CA~92109~Tax Preparation/Accounting~Tax Preparation/Accounting~10/1/2013~160hrs~120hrs~Perpare Taxes
- 3) RENTAL PROPERTY; POSITION: Owner/Partner NATURE: This is inherited property that has been in the family for years. This will be a passive activity for my brother and I. INVESTMENT RELATED: Yes NUMBER OF HOURS: 2 SECURITIES TRADING HOURS: 0 START DATE:

www.finra.org/brokercheck

## **Registration and Employment History**



### Other Business Activities, continued

01/01/2022;4780 Mssion Bvd, San Diego CA 92109, Us; We have a property manager that takes care of everything for the properties. Collecting rents, paying invoices, renting the properties etc.

4) AVANTAX INSURANCE AGENCY AND/OR AVANTAX INSURANCE SERVICES.; POSITION: Representative/Producer NATURE: Annuities/Life Insurance INVESTMENT RELATED: No NUMBER OF HOURS: 8 SECURITIES TRADING HOURS: 0 START DATE: 07/20/2022; 4780 Mssion Bvd, San Diego CA 92109, Us; Annuities and Life Insurance Service and Sales

5) POA for my Brother Mark Redfern; Dual POA with his wife Allison Redfern; This Durable Power of Attorney is for Mark Redfern my brother. It is a Dual Power Of Attorney with Allison Redfern his wife and David Redfern his brother. My brother just retired from 32 years of working at National University. He is currently showing signs of [REDACTED] and his wife Allison and Mark Redfern my brother requested I be on the power of attorney.; NIR; 0; 09/02/2024; 4780 Mssion Bvd San Diego CA 92109; My responsibilities would be if something happened to his wife Allison Redfern I would make sure my brother was taken care of.

#### 6) SHORELINE CUSTOM COATINGS

POSITION: Owner of DBA name only NATURE: Shoreline Custom Coatings is a business name that I was thinking of changing Beach Cities Coatings to the name still comes back to David Redfern. I have not used it for anything and do not plan on changing Beach Cities Coatings DBA name moving forward. I have never received any money for Shoreline Custom Coatings. INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 06/12/2025

ADDRESS: 5289 Soledad Mountain Road, San Diego CA 92109, United States

DESCRIPTION: I have no duties for Shoreline Custom Coatings it is only a name. This was a possible construction company name. This business exists in name only and has never engaged in active business operations. I

### 7) EQUITY INDEXED PRODUCTS

POSITION: Registered Rep/Insurance NATURE: Sell indexed annuities INVESTMENT RELATED: No NUMBER OF HOURS: 5 SECURITIES

TRADING HOURS: 5 START DATE: 06/12/2025

ADDRESS: 4780 Mssion Bvd, San Diego CA 92109, United States

**DESCRIPTION**: sell indexed annuities

### **Disclosure Events**



#### What you should know about reported disclosure events:

1. All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

#### 2. Certain thresholds must be met before an event is reported to CRD, for example:

- o A law enforcement agency must file formal charges before a broker is required to disclose a particular criminal event.
- A customer dispute must involve allegations that a broker engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

### 3. Disclosure events in BrokerCheck reports come from different sources:

 As mentioned at the beginning of this report, information contained in BrokerCheck comes from brokers, brokerage firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the BrokerCheck report. The different versions will be separated by a solid line with the reporting source labeled.

#### 4. There are different statuses and dispositions for disclosure events:

- o A disclosure event may have a status of pending, on appeal, or final.
  - A "pending" event involves allegations that have not been proven or formally adjudicated.
  - An event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" event has been concluded and its resolution is not subject to change.
- o A final event generally has a disposition of adjudicated, settled or otherwise resolved.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally involves an agreement by the parties to resolve the matter. Please note that brokers and brokerage firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually involves no payment to the customer and no finding of wrongdoing on the part of the individual broker. Such matters generally involve customer disputes.

For your convenience, below is a matrix of the number and status of disclosure events involving this broker. Further information regarding these events can be found in the subsequent pages of this report. You also may wish to contact the broker to obtain further information regarding these events.

	Pending	Final	On Appeal
Regulatory Event	0	3	0
Criminal	0	4	0



#### **Disclosure Event Details**

When evaluating this information, please keep in mind that a discloure event may be pending or involve allegations that are contested and have not been resolved or proven. The matter may, in the end, be withdrawn, dismissed, resolved in favor of the broker, or concluded through a negotiated settlement for certain business reasons (e.g., to maintain customer relationships or to limit the litigation costs associated with disputing the allegations) with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to CRD and therefore some of the specific data fields contained in the report may be blank if the information was not provided to CRD.

### Regulatory - Final

This type of disclosure event may involve (1) a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, self-regulatory organization, federal regulatory such as the Securities and Exchange Commission, foreign financial regulatory body) for a violation of investment-related rules or regulations; or (2) a revocation or suspension of a broker's authority to act as an attorney, accountant, or federal contractor.

Disclosure 1 of 3

Reporting Source: Broker

Regulatory Action Initiated

By:

Arizona Department of Insurance and Financial Institutions

Sanction(s) Sought: Denial

**Date Initiated:** 10/04/2023

Docket/Case Number: 24A-026-INS

Employing firm when activity occurred which led to the regulatory action:

Avantax Investment Services, Inc.

Product Type: Insurance

Allegations: Arizona Department of Insurance and Financial Institutions denied applicant's

request for an insurance license pursuant to statues §§ 20-295 A 1. providing incorrect, misleading, incomplete or materially untrue information in the license application, 20-295 A 3. obtaining or attempting to obtain a license through

misrepresentation or fraud and 20-295 A 9. having an insurance producer license, or its equivalent, denied, suspended or revoked in any state, province, district or territory. Upon conclusion of the appeal, the previously stated allegations were withdrawn and replaced with A.R.S § 20-295 A 2. Violation of Title 20 or any rule, subpoena or order of the Director and A.R.S. § 20-295 A 1. providing incorrect or incomplete information in the license application, and the license was granted.

Current Status: Final



Action Appealed To: State Agency or Commission

Date Appeal filed: 10/26/2023

**Appeal Limitation Details:** 

**Resolution:** Order

Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct? No

Resolution Date: 04/06/2024

Sanctions Ordered: Civil and Administrative Penalty(ies)/Fine(s)

Monetary Sanction 1 of 1

Monetary Related Sanction: Civil and Administrative Penalty(ies)/Fine(s)

Total Amount: \$75.00

**Portion Levied against** 

individual:

\$75.00

Payment Plan:

Is Payment Plan Current:

Date Paid by individual: 04/03/2024

Was any portion of penalty

waived?

By:

No

**Amount Waived:** 

**Broker Statement** Upon disposition of the appeal, the Arizona Department of Insurance and Financial

Institutions granted the license.

Disclosure 2 of 3

Reporting Source: Broker

**Regulatory Action Initiated** 

South Dakota Division of Insurance

Sanction(s) Sought:

Denial

Date Initiated:

02/20/2019



Docket/Case Number: not applicable

Employing firm when activity occurred which led to the regulatory action:

**HD Vest Investment Services** 

Product Type: Insurance

Allegations: State of South Dakota Division of Insurance denied applicant's request for a non-

resident insurance producer license.

Current Status: Final

**Resolution:** Denial Letter

Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or

No

deceptive conduct?

**Resolution Date:** 

02/20/2019

Sanctions Ordered: Denial

Disclosure 3 of 3

**Reporting Source:** Broker

**Regulatory Action Initiated** 

By:

California Dept of Insurance

Sanction(s) Sought: Denial

Other: Issuance of a restricted insurance license

**Date Initiated:** 11/14/2018

Docket/Case Number: PLBS 12461-B (AR)

Employing firm when activity occurred which led to the regulatory action:

**HD Vest Investment Services** 

Product Type: Insurance

Allegations: State of California Insurance Department denied applicant's request for an

insurance license, but approved a restricted license.



Current Status: Final

**Resolution:** Order

Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?

No

**Resolution Date:** 11/14/2018

Sanctions Ordered: Denial

Other: Issuance of a restricted insurance license.



### **Criminal - Final Disposition**

This type of disclosure event involves a criminal charge against the broker that has resulted in a conviction, acquittal, dismissal, or plea. The criminal matter may pertain to any felony or certain misdemeanor offenses, including bribery, perjury, forgery, counterfeiting, extortion, fraud, and wrongful taking of property.

Disclosure 1 of 4

**Reporting Source:** Broker

If charge(s) were brought against an organization over which broker exercised control:

**Organization Name:** 

SHERIFF'S OFFICE SAN DIEGO CA

**Investment Related** 

**Business:** 

No

**Broker's Position:** 

**Formal Charges were** 

State Court

brought in:
Name of Court:

STATE

**Location of Court:** 

SAN DIEGO

Docket/Case #:

99-146607A

**Charge Date:** 

07/01/1999

Charge(s) 1 of 2

Formal

EVADING POLICE WITH RECKLESS DRIVING.

Charge(s)/Description:

No of Counts:

Felony or Misdemeanor: Felony

Plea for each charge: NO CONTEST

Disposition of charge:

Convicted

1

Charge(s) 2 of 2

- . .

Formal BURGLARY

Charge(s)/Description:

No of Counts: 2

Felony or Misdemeanor: Felony



Plea for each charge: NO CONTEST

**Disposition of charge:** Convicted

Current Status: Final

**Status Date:** 07/01/1999

**Disposition Date:** 12/01/1999

Sentence/Penalty: 2 YEARS 8 MOS PRISON TIME.

**Broker Statement** PLEASE NOTE THAT THIS WAS A LONG TIME AGO.

Disclosure 2 of 4

**Reporting Source:** Broker

If charge(s) were brought against an organization over which broker exercised

control:

Organization Name: SHERIFF'S OFFICE SAN DIEGO CA

**Investment Related** 

**Business:** 

No

**Broker's Position:** 

**Formal Charges were** 

brought in:

State Court

Name of Court: STATE

Location of Court: SAN DIEGO

Docket/Case #: J89176

**Charge Date:** 12/28/1995

Charge(s) 1 of 7

Formal 2ND DEGREE BURGLARY

Charge(s)/Description:

No of Counts:

Felony or Misdemeanor: Felony

Plea for each charge: NO CONTEST

**Disposition of charge:** Convicted



Charge(s) 2 of 7

**Formal** GRANDTHEFT FIREARM

Charge(s)/Description:

No of Counts:

Felony or Misdemeanor: Felony

Plea for each charge: NO CONTEST

**Disposition of charge:** Convicted

Charge(s) 3 of 7

Formal 2ND DEGREE BURGLARY

Charge(s)/Description:

No of Counts:

Felony or Misdemeanor: Felony

Plea for each charge: NO CONTEST

**Disposition of charge:** Convicted

Charge(s) 4 of 7

Formal POSSESSION CONTROLLED SUBSTANCE

Charge(s)/Description:

No of Counts:

Felony or Misdemeanor: Felony

Plea for each charge: NO CONTEST

**Disposition of charge:** Convicted

Charge(s) 5 of 7

Formal RECEIVING STOLEN PROPERTY

Charge(s)/Description:

No of Counts: 1

Felony or Misdemeanor: Felony

Plea for each charge: NO CONTEST

**Disposition of charge:** Convicted

Charge(s) 6 of 7

**Formal** 

THEFT OF VEHICLE VESSEL

Charge(s)/Description:



No of Counts:

Felony or Misdemeanor: Felony

Plea for each charge: NO CONTEST

Disposition of charge:

Convicted

Charge(s) 7 of 7

Formal POSS OF FIREARM BY FELON

Charge(s)/Description:

No of Counts:

Felony or Misdemeanor: Felony

Plea for each charge: NO CONTEST

**Disposition of charge:** Convicted

Current Status: Final

**Status Date:** 12/28/1995

**Disposition Date:** 12/28/1995

Sentence/Penalty: 5 YEARS 8 MOS JAIL TIME.

Broker Statement PLEASE NOTE THAT THIS WAS A LONG TIME AGO.

Disclosure 3 of 4

**Reporting Source:** Broker

If charge(s) were brought against an organization over which broker exercised

control:

Organization Name: SHERIFF'S OFFICE SAN DIEGO CA

**Investment Related** 

**Business:** 

No

**Broker's Position:** 

**Formal Charges were** 

State Court

brought in:

Name of Court:

STATE

Location of Court:

SAN DIEGO



**Docket/Case #:** 95115030A **Charge Date:** 03/02/1995

Charge(s) 1 of 6

Formal 2ND DEGREE BURGLARY

Charge(s)/Description:

No of Counts: 2

Felony or Misdemeanor: Felony

Plea for each charge: NO CONTEST

**Disposition of charge:** Convicted

Charge(s) 2 of 6

No of Counts:

Formal RECEIVING STOLEN PROPERTY

2

Charge(s)/Description:

Felony or Misdemeanor: Felony

Plea for each charge: NO CONTEST

**Disposition of charge:** Convicted

Charge(s) 3 of 6

Formal POSSESSION BURGLARY TOOL

Charge(s)/Description:

No of Counts:

Felony or Misdemeanor: Felony

Plea for each charge: NO CONTEST

**Disposition of charge:** Convicted

Charge(s) 4 of 6

Formal POSSESSION OF A CONTROLLED SUBSTANCE

**Charge(s)/Description:** 

No of Counts:

Felony or Misdemeanor: Felony

Plea for each charge: NO CONTEST

**Disposition of charge:** Convicted



Charge(s) 5 of 6

**Formal FALSE ID OFFICER** 

Charge(s)/Description:

No of Counts: 1

**Felony or Misdemeanor:** Felony

Plea for each charge: NO CONTEST

Disposition of charge: Convicted

Charge(s) 6 of 6

**Formal** CONSPIRACY TO COMMIT A CRIME

Charge(s)/Description:

No of Counts: 1

**Felony or Misdemeanor:** Felony

Plea for each charge: NO CONTEST

Disposition of charge: Convicted

**Current Status:** Final

Status Date: 03/02/1995

**Disposition Date:** 12/13/1995

Sentence/Penalty: 3 YEARS JAIL TIME.

**Broker Statement** PLEASE NOTE THAT THIS WAS A LONG TIME AGO.

Disclosure 4 of 4

**Reporting Source:** Broker

If charge(s) were brought against an organization over which broker exercised

control:

SHERIFF'S OFFICE SAN DIEGO CA **Organization Name:** 

**Investment Related** 

**Business:** 

No

**Broker's Position:** 



**Formal Charges were** 

brought in:

State Court

Name of Court: STATE

Location of Court: SAN DIEGO

Docket/Case #: F102484

**Charge Date:** 02/25/1987

Charge(s) 1 of 2

Formal 1ST DEGREE BURGLARY

Charge(s)/Description:

No of Counts:

Felony or Misdemeanor: Felony

Plea for each charge: NO CONTEST

**Disposition of charge:** Convicted

Charge(s) 2 of 2

Formal POSSESSION OF STOLEN PROPERTY

Charge(s)/Description:

No of Counts:

Felony or Misdemeanor: Felony

Plea for each charge: NO CONTEST

**Disposition of charge:** Convicted

Current Status: Final

**Status Date:** 02/25/1987

**Disposition Date:** 02/25/1987

Sentence/Penalty: PROBATION 1 YEAR; NO FINE

**Broker Statement** PLEASE NOT THAT THIS WAS A LONG TIME AGO.

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# **End of Report**



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