

## BrokerCheck Report

**Khaled B. Mheisen**

CRD# 6320885

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

## About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

[brokercheck.finra.org](http://brokercheck.finra.org)



For additional information about the contents of this report, please refer to the User Guidance or [www.finra.org/brokercheck](http://www.finra.org/brokercheck). It provides a glossary of terms and a list of frequently asked questions, as well as additional resources.

For more information about FINRA, visit [www.finra.org](http://www.finra.org).



**Khaled B. Mheisen**

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This broker is not currently registered.

**Report Summary for this Broker**

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

**Broker Qualifications**

**This broker is not currently registered.**

**This broker has passed:**

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 2 State Securities Law Exams

**Registration History**

**This broker was previously registered with the following securities firm(s):**

- B** **TRANSAMERICA FINANCIAL ADVISORS, INC**  
CRD# 16164  
Troy, MI  
02/2024 - 11/2024
- B** **J.P. MORGAN SECURITIES LLC**  
CRD# 79  
DEARBORN HEIGHTS, MI  
09/2022 - 10/2022
- B** **THE HUNTINGTON INVESTMENT COMPANY**  
CRD# 16986  
DEARBORN, MI  
01/2022 - 09/2022

**Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **Yes**

**The following types of disclosures have been reported:**

Type	Count
Termination	1

**Investment Adviser Representative Information**

The information below represents the individual's record as a broker. For details on this individual's record as an investment adviser representative, visit the SEC's Investment Adviser Public Disclosure website at <https://www.adviserinfo.sec.gov>

## Broker Qualifications



### Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This broker is not currently registered.



## Broker Qualifications

### Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

**This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.**

### Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Securities Industry Essentials Examination	SIE	10/01/2018
<b>B</b> General Securities Representative Examination	Series 7	08/11/2014

### State Securities Law Exams

Exam	Category	Date
<b>B</b> <b>IA</b> Uniform Combined State Law Examination	Series 66	12/13/2021
<b>B</b> Uniform Securities Agent State Law Examination	Series 63	10/13/2014

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at [www.finra.org/brokerqualifications/registeredrep/](http://www.finra.org/brokerqualifications/registeredrep/).

## Broker Qualifications



## Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration and Employment History

### Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
<b>B</b> 02/2024 - 11/2024	TRANSAMERICA FINANCIAL ADVISORS, INC	16164	Troy, MI
<b>B</b> 09/2022 - 10/2022	J.P. MORGAN SECURITIES LLC	79	DEARBORN HEIGHTS, MI
<b>B</b> 01/2022 - 09/2022	THE HUNTINGTON INVESTMENT COMPANY	16986	DEARBORN, MI
<b>B</b> 08/2014 - 01/2022	EQUITABLE ADVISORS, LLC	6627	CANTON, MI

### Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

**Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.**

Employment	Employer Name	Position	Investment Related	Employer Location
02/2024 - Present	Transamerica Financial Advisors	Registered Representative	Y	Troy, MA, United States
12/2023 - Present	Imagination station two LLC	Owner	N	Highland, MI, United States
11/2023 - Present	Transamerica Agency Network (TAN)	Insurance Agent	Y	Baltimore, MD, United States
11/2023 - Present	UFS	Insurance Agent	N	Baltimore, MD, United States
08/2021 - Present	IMAGINATION STATION ONE	Owner	N	Canton, MI, United States
08/2021 - Present	Imagination station one LLC	Owner	N	Canton, MI, United States
01/2020 - Present	Outer DR Petro Mart	Assistant Manager	N	Dearborn, MI, United States
08/2018 - Present	Lonyo Petro Mart	Assistant Manager	N	Detriot, MI, United States
09/2022 - 10/2022	J.P. Morgan Securities LLC	Private Client Advisor	Y	Dearborn Heights, MI, United States
12/2021 - 09/2022	The Huntington Investment Company	Registered Rep	Y	Columbus, OH, United States
06/2015 - 01/2022	AXA Equitables	Sales Force Assoc	Y	New York, NY, United States
06/2015 - 01/2022	Equitable Finl Life Ins Co	Sales Force Assoc	Y	New York, NY, United States



## Registration and Employment History

### Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
04/2014 - 01/2022	EQUITABLE ADVISORS, LLC	REGISTERED REPRESENTATIVE	Y	NEW YORK, NY, United States
08/2014 - 06/2020	AXA ADVISORS, LLC	REGISTERED REPRESENTATIVE	Y	NEW YORK, NY, United States

### Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

Sales of insurance and non-insurance products, part-time or full-time, for companies affiliated with Transamerica Financial Advisors, Inc.

IMAGINATION STATION ONE POSITION: Owner NATURE: Business Owner INVESTMENT RELATED: No NUMBER OF HOURS during Sec Trading: 1 SECURITIES TRADING HOURS: 0 START DATE: 08/13/2021 ADDRESS: 906 n beck rd, Canton MI 48187, United States DESCRIPTION: Daycare

LONYO PETRO MART/Investment Related No/8258 Michigan Ave, Detroit MI 48210/313-218-0481/the activity is retail management and completely separate from the finance industry. The activity also takes up no more than 0-5 hours a week during non-traditional business hours. Assist manager/08.2018/8/0/My functions duties and responsibilities are retail management support. This is during non-traditional work hours and 0-5 hours a week.

OUTER DR PETRO MART/Investment Related-No/2731 monroe st, dearborn mi 48124 313-218-0481/A retail petro store/Assistant Manager/08/2020/2/0/retail management 0-1 hours a week during non-traditional hours

TAN/Investment Related -yes/1201 WILL ST, SUITE 800 BALTIMORE, MD 21231 410-685-2900/investment and insurance/insurance agent/11.2023/20/20/ insurance

UFS/Investment Related - No/1201 willis st, suite 800 Baltimore, MD 21231 410-685-2900/insurance/insurance agent/11.2023/20/20/insurance

IMAGINATION STATION ONE LLC POSITION: Owner NATURE: Childcare INVESTMENT RELATED: No NUMBER OF HOURS: 4 SECURITIES TRADING HOURS: 0 START DATE: 08/01/2021 ADDRESS: 906 n beck rd, Canton MI 48187, United States DESCRIPTION: I do not have daily activities. I have a full time director that manages all aspects of business.

IMAGINATION STATION TWO LLC POSITION: Owner NATURE: Childcare INVESTMENT RELATED: No NUMBER OF HOURS: 4 SECURITIES TRADING HOURS: 0 START DATE: 12/04/2023 ADDRESS: 1131 white lake rd, Highland MI 48356, United States DESCRIPTION: No daily activities and responsibilities, as my director manages all day to day operations.



## Disclosure Events



### What you should know about reported disclosure events:

1. All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.
2. **Certain thresholds must be met before an event is reported to CRD, for example:**
  - A law enforcement agency must file formal charges before a broker is required to disclose a particular criminal event.
  - A customer dispute must involve allegations that a broker engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.
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3. **Disclosure events in BrokerCheck reports come from different sources:**
  - As mentioned at the beginning of this report, information contained in BrokerCheck comes from brokers, brokerage firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the BrokerCheck report. The different versions will be separated by a solid line with the reporting source labeled.
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4. **There are different statuses and dispositions for disclosure events:**
  - A disclosure event may have a status of *pending*, *on appeal*, or *final*.
    - A "pending" event involves allegations that have not been proven or formally adjudicated.
    - An event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
    - A "final" event has been concluded and its resolution is not subject to change.
  - A final event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
    - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
    - A "settled" matter generally involves an agreement by the parties to resolve the matter. Please note that brokers and brokerage firms may choose to settle customer disputes or regulatory matters for business or other reasons.
    - A "resolved" matter usually involves no payment to the customer and no finding of wrongdoing on the part of the individual broker. Such matters generally involve customer disputes.

For your convenience, below is a matrix of the number and status of disclosure events involving this broker. Further information regarding these events can be found in the subsequent pages of this report. You also may wish to contact the broker to obtain further information regarding these events.

	Pending	Final	On Appeal
Termination	N/A	1	N/A



## Disclosure Event Details

When evaluating this information, please keep in mind that a disclosure event may be pending or involve allegations that are contested and have not been resolved or proven. The matter may, in the end, be withdrawn, dismissed, resolved in favor of the broker, or concluded through a negotiated settlement for certain business reasons (e.g., to maintain customer relationships or to limit the litigation costs associated with disputing the allegations) with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to CRD and therefore some of the specific data fields contained in the report may be blank if the information was not provided to CRD.

### Employment Separation After Allegations

This type of disclosure event involves a situation where the broker voluntarily resigned, was discharged, or was permitted to resign after being accused of (1) violating investment-related statutes, regulations, rules or industry standards of conduct; (2) fraud or the wrongful taking of property; or (3) failure to supervise in connection with investment-related statutes, regulations, rules, or industry standards of conduct.

#### Disclosure 1 of 1

<b>Reporting Source:</b>	Firm
<b>Employer Name:</b>	THE HUNTINGTON INVESTMENT COMPANY
<b>Termination Type:</b>	Voluntary Resignation
<b>Termination Date:</b>	09/08/2022
<b>Allegations:</b>	Registered Representative failed to report one or more outside business activities on his Form U4. The RR provided documents to the affiliate bank that indicated he was involved in one or more OBAs that were not reported on his U4 although he had been placed on an improvement plan in the past regarding not reporting OBAs and was aware of the regulatory rule and requirement to report on Form U4.
<b>Product Type:</b>	No Product

<b>Reporting Source:</b>	Broker
<b>Employer Name:</b>	The Huntington National Bank
<b>Termination Type:</b>	Voluntary Resignation
<b>Termination Date:</b>	09/08/2022
<b>Allegations:</b>	Registered Representative was under review for failure to disclose one or more outside business activities on his Form U4. The Registered Representative applied for a business loan through the affiliated bank and provided information related to outside business activities that were not previously reported on his Form U4.
<b>Product Type:</b>	No Product

## End of Report



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