

BrokerCheck Report

SHAUNTORIA ALEXANDRIA CURRENCE

CRD# 6576008

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About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:

- o information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
- o information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. [For more information about FINRA, visit www.finra.org.](http://www.finra.org)

Thank you for using FINRA BrokerCheck.

SHAUNTORIA A. CURRENCE

CRD# 6576008

Currently employed by and registered with the following Firm(s):**LPL FINANCIAL LLC**1055 LPL WAY
FORT MILL, SC 29715
CRD# 6413

Registered with this firm since: 04/25/2018

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications**This broker is registered with:**

- 1 Self-Regulatory Organization
- 0 U.S. states and territories

This broker has passed:

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 0 State Securities Law Exams

Registration History**This broker was previously registered with the following securities firm(s):****METLIFE INVESTORS DISTRIBUTION COMPANY**CRD# 107622
CHARLOTTE, NC
11/2015 - 11/2016**METLIFE SECURITIES, INC**CRD# 14251
CHARLOTTE, NC
12/2015 - 06/2016**Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 0 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **LPL FINANCIAL LLC**
 Main Office Address: **75 STATE STREET, 22ND FLOOR
 BOSTON, MA 02109**
 Firm CRD#: **6413**

SRO	Category	Status	Date
FINRA	Invest. Co and Variable Contracts	APPROVED	04/25/2018

Branch Office Locations

LPL FINANCIAL LLC
 1055 LPL WAY
 FORT MILL, SC 29715



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 0 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
Securities Industry Essentials Examination	SIE	10/01/2018
Investment Company Products/Variable Contracts Representative Examination	Series 6	11/23/2015

State Securities Law Exams

Exam	Category	Date
No information reported.		

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
11/2015 - 11/2016	METLIFE INVESTORS DISTRIBUTION COMPANY	107622	CHARLOTTE, NC
12/2015 - 06/2016	METLIFE SECURITIES, INC	14251	CHARLOTTE, NC

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment Dates	Employer Name	Employer Location
12/2016 - Present	LPL FINANCIAL	FORT MILL, SC
10/2015 - 12/2016	MetLife	Charlotte, NC
04/2015 - 10/2015	UNEMPLOYED	ROCK HILL, SC
12/2014 - 04/2015	AT&T	CHARLOTTE, NC
10/2014 - 12/2014	UNEMPLOYED	N/A, SC
05/2014 - 10/2014	FULL TIME SCHOOL AT KENNETH SHULER	ROCK HILL, SC
02/2014 - 05/2014	UNEMPLOYED	Rock Hill, SC
09/2012 - 02/2014	CITIMORGAGE INC.	FORT MILL, SC
08/2011 - 09/2012	FULL TIME EDUCATION/YORK TECHNICAL COLLEGE	ROCK HILL, SC
01/2011 - 08/2011	UNEMPLOYED	N/A, SC
11/2010 - 01/2011	ACCOUNTEMPS	CHARLOTTE, NC
09/2010 - 11/2010	SANTANDER CONSUMER USA, INC.	FORT MILL, SC
05/2008 - 09/2010	CITIFINANCIAL AUTO, INC.	FORT MILL, SC

Registration and Employment History



Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1. NEW GENERATION INSTALLATION AND REPAIR, LLC; NON-INVESTMENT RELATED; 952 FINLEY ROAD, ROCK HILL, SC 29730; RESIDENTIAL AND COMMERCIAL REPAIRS AND INSTALLATIONS; CO/OWNER; 08/2017 IS START DATE WITH COMPANY; 0 HOURS ARE DEVOTED TO BUSINESS DURING SECURITIES TRADING HOURS. I SIMPLY AM THE CO/OWNER ALONG WITH MY HUSBAND WHO IS THE OTHER OWNER OF THE BUSINESS.

End of Report



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