

# **BrokerCheck Report**

# **LAWRENCE A DREHER**

CRD# 6917332

Section Title	Page(s)	
Report Summary	1	
Broker Qualifications	2 - 4	
Registration and Employment History	6 - 7	



When communicating online or investing with any professional, make sure you know who you're dealing with. <u>Imposters</u> might link to sites like BrokerCheck from <u>phishing</u> or similar scam websites, or through <u>social media</u>, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

#### About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

#### What is included in a BrokerCheck report?

- BrokerCheck reports for individual brokers include information such as employment history, professional
  qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck
  reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the
  same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- Where did this information come from?
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - o information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - o information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- How current is this information?
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- What if I want to check the background of an investment adviser firm or investment adviser representative?
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- Are there other resources I can use to check the background of investment professionals?
- FINRA recommends that you learn as much as possible about an investment professional before deciding
  to work with them. Your state securities regulator can help you research brokers and investment adviser
  representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

### **LAWRENCE A. DREHER**

CRD# 6917332

# Currently employed by and registered with the following Firm(s):

OSAIC WEALTH, INC. 7300 S 13TH STREET SUITE 103 OAK CREEK, WI 53154 CRD# 23131

Registered with this firm since: 01/19/2024

B OSAIC WEALTH, INC.
7300 S 13TH STREET
SUITE 103
OAK CREEK, WI 53154
CRD# 23131
Registered with this firm since: 01/19/2024

# **Report Summary for this Broker**



This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

#### **Broker Qualifications**

#### This broker is registered with:

- 1 Self-Regulatory Organization
- 7 U.S. states and territories

#### This broker has passed:

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

#### **Registration History**

This broker was previously registered with the following securities firm(s):

WOODBURY FINANCIAL SERVICES, INC. CRD# 421 OAKDALE, MN 10/2022 - 01/2024

B WOODBURY FINANCIAL SERVICES, INC. CRD# 421 OAK CREEK, WI 08/2022 - 01/2024

#### **Disclosure Events**

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? No

### **Broker Qualifications**



## Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 7 U.S. states and territories through his or her employer.

# **Employment 1 of 1**

Firm Name: OSAIC WEALTH, INC.

Main Office Address: 18700 N. HAYDEN ROAD

**SUITE 255** 

SCOTTSDALE, AZ 85255

Firm CRD#: **23131** 

	SRO	Category	Status	Date
В	FINRA	General Securities Representative	Approved	01/19/2024
	U.S. State/ Territory	Category	Status	Date
В	Florida	Agent	Approved	01/19/2024
IA	Florida	Investment Adviser Representative	Approved	01/19/2024
B	Illinois	Agent	Approved	02/09/2024
B	North Carolina	Agent	Approved	07/03/2024
B	Virginia	Agent	Approved	01/19/2024
B	Washington	Agent	Approved	06/25/2024
B	Wisconsin	Agent	Approved	01/19/2024
IA	Wisconsin	Investment Adviser Representative	Approved	01/19/2024
B	Wyoming	Agent	Approved	06/20/2024

## **Branch Office Locations**

**OSAIC WEALTH, INC.** 

# **Broker Qualifications**



**Employment 1 of 1, continued** 

7300 S 13TH STREET SUITE 103 OAK CREEK, WI 53154

### **Broker Qualifications**



### **Industry Exams this Broker has Passed**

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

## **Principal/Supervisory Exams**

Exam		Category	Date
	No information reported.		

# **General Industry/Product Exams**

Exam		Category	Date
В	General Securities Representative Examination	Series 7TO	08/15/2022
В	Securities Industry Essentials Examination	SIE	07/09/2021

# **State Securities Law Exams**

Exam	Category	Date
B IA Uniform Combined State Law Examination	Series 66	10/10/2022

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

www.finra.org/brokercheck
User Guidance

# **Broker Qualifications**



# **Professional Designations**

This section details that the representative has reported **0** professional designation(s).

No information reported.

# **Registration and Employment History**



#### **Registration History**

The broker previously was registered with the following firms:

Reg	istration Dates	Firm Name	CRD#	Branch Location
IA	10/2022 - 01/2024	WOODBURY FINANCIAL SERVICES, INC.	421	OAK CREEK, WI
B	08/2022 - 01/2024	WOODBURY FINANCIAL SERVICES, INC.	421	OAK CREEK, WI

#### **Employment History**

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	<b>Employer Location</b>
01/2024 - Present	OSAIC WEALTH, INC.	Mass Transfer	Υ	OAK CREEK, WI, United States
06/2018 - Present	Savaglia Financial Advisors	Client Services	Υ	Oak Creek, WI, United States
11/2021 - 01/2024	WOODBURY FINANCIAL SERVICES INC	REGISTERED REPRESENTATIVE	Υ	OAK CREEK, WI, United States
11/1987 - 06/2018	Mortgage Guarantee Insurance Corp	Director of Infrastructure	N	Milwaukee, WI, United States

#### Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1. Wolverine Fireworks: Employee. Display Pyrotechnician. Fireworks shows. Not investment related. Started 1995 (prior company named Bartololatta Fireworks). 10 hours a year. Address Palmrya WI

Casper Pyrotechnics: Employee. Display Pyrotechnician. Fireworks shows. Not investment related. Started 2020. 20 hours a year. Address Sturtevant WI

Larry Dreher Numismatics. Sole Proprietorship. Company name used for buying and selling collectables on ebay. Started in 1995. Not securities or investment related. Position owner. Address = Home address

#### 2. DREAM PLAN ENJOY LLC DBA SAVAGLIA FINANCIAL ADVISORS

POSITION: Finacial Advisor NATURE: LLC INVESTMENT RELATED: Yes NUMBER OF HOURS: 160 SECURITIES TRADING HOURS: 140

# **Registration and Employment History**



### Other Business Activities, continued

START DATE: 01/01/2023

ADDRESS: 7300 S 13th St, Suite 103, Oak Creek WI 53154, United States

**DESCRIPTION: Financial Planning, Investment Review** 

#### 3. FIXED INSURANCE WITH VARIOUS COMPANIES

POSITION: Financial Advisor NATURE: Financial Services INVESTMENT RELATED: Yes NUMBER OF HOURS: 5 SECURITIES TRADING

HOURS: 4 START DATE: 05/04/2023

ADDRESS: 7300 S 13th St, Suite 103, Oak Creek WI 52154, United States

DESCRIPTION: Sell Life, Fixed Annuities

#### 4. TENACITY ADVISORY GROUP

POSITION: Affiliated Advisor NATURE: S Corp INVESTMENT RELATED: Yes NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1

START DATE: 10/01/2022

ADDRESS: 566 Red Bird Circle, DePere WI 54115, United States

**DESCRIPTION: Share Best Practices** 

# **End of Report**



This page is intentionally left blank.