

BrokerCheck Report

Daniel James Potosky

CRD# 7256067

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.



Daniel J. Potosky

CRD# 7256067

Currently employed by and registered with the following Firm(s):

IA FEDERATED INVESTMENT COUNSELING
1001 LIBERTY AVENUE
PITTSBURGH, PA 15222
CRD# 105325
Registered with this firm since: 06/27/2024

IA FEDERATED GLOBAL INVESTMENT MANAGEMENT CORP.
Irwin, PA
CRD# 106277
Registered with this firm since: 06/27/2024

IA MDT ADVISERS, A DIVISION OF FEDERATED MDTA LLC
Irwin, PA
CRD# 109355
Registered with this firm since: 06/27/2024

IA FEDERATED SECURITIES CORP.
1001 LIBERTY AVENUE
PITTSBURGH, PA 15222
CRD# 5009
Registered with this firm since: 04/23/2024

This broker is currently registered with FINRA or a national securities exchange at more than four brokerage firms. Please see the Registration History section of the report for a list of these brokerage firms.

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 46 U.S. states and territories

This broker has passed:

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

Registration History

This broker was previously registered with the following securities firm(s):

No information reported.

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**



Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 46 U.S. states and territories through his or her employer.

Employment 1 of 4

Firm Name: **FEDERATED GLOBAL INVESTMENT MANAGEMENT CORP.**
Main Office Address: **101 PARK AVENUE
SUITE 4100
NEW YORK, NY 10178-0002**
Firm CRD#: **106277**

| | U.S. State/ Territory | Category | Status | Date |
|----|-----------------------|-----------------------------------|----------|------------|
| IA | Illinois | Investment Adviser Representative | Approved | 06/27/2024 |
| IA | New York | Investment Adviser Representative | Approved | 06/27/2024 |
| IA | Texas | Investment Adviser Representative | Approved | 06/27/2024 |

Branch Office Locations

101 PARK AVENUE
SUITE 4100
NEW YORK, NY 10178-0002

Irwin, PA

1001 Liberty Avenue
Pittsburgh, PA 15222

Employment 2 of 4

Firm Name: **FEDERATED INVESTMENT COUNSELING**
Main Office Address: **1001 LIBERTY AVENUE
PITTSBURGH, PA 15222-3779**



Broker Qualifications

Employment 2 of 4, continued

Firm CRD#: **105325**

| | U.S. State/ Territory | Category | Status | Date |
|----|-----------------------|-----------------------------------|----------|------------|
| IA | Illinois | Investment Adviser Representative | Approved | 06/27/2024 |
| IA | New York | Investment Adviser Representative | Approved | 06/27/2024 |
| IA | Pennsylvania | Investment Adviser Representative | Approved | 06/27/2024 |
| IA | Texas | Investment Adviser Representative | Approved | 06/27/2024 |

Branch Office Locations

1001 LIBERTY AVENUE
PITTSBURGH, PA 15222-3779

Irwin, PA

Employment 3 of 4

Firm Name: **FEDERATED SECURITIES CORP.**

Main Office Address: **1001 LIBERTY AVENUE
PITTSBURGH, PA 15222-3779**

Firm CRD#: **5009**

| | SRO | Category | Status | Date |
|---|-------|-----------------------------------|----------|------------|
| B | FINRA | General Securities Representative | Approved | 03/08/2024 |

| | U.S. State/ Territory | Category | Status | Date |
|---|-----------------------|----------|----------|------------|
| B | Alabama | Agent | Approved | 06/27/2024 |
| B | Arizona | Agent | Approved | 06/27/2024 |
| B | Arkansas | Agent | Approved | 06/27/2024 |

Broker Qualifications



Employment 3 of 4, continued

| | U.S. State/ Territory | Category | Status | Date |
|----|-----------------------|-----------------------------------|----------|------------|
| B | California | Agent | Approved | 06/27/2024 |
| B | Colorado | Agent | Approved | 06/27/2024 |
| B | Connecticut | Agent | Approved | 06/27/2024 |
| B | Delaware | Agent | Approved | 06/27/2024 |
| B | District of Columbia | Agent | Approved | 06/27/2024 |
| B | Florida | Agent | Approved | 06/27/2024 |
| B | Georgia | Agent | Approved | 06/27/2024 |
| B | Idaho | Agent | Approved | 06/27/2024 |
| IA | Illinois | Investment Adviser Representative | Approved | 06/27/2024 |
| B | Illinois | Agent | Approved | 09/12/2024 |
| B | Indiana | Agent | Approved | 06/27/2024 |
| B | Iowa | Agent | Approved | 06/27/2024 |
| B | Kansas | Agent | Approved | 06/27/2024 |
| B | Kentucky | Agent | Approved | 06/27/2024 |
| B | Louisiana | Agent | Approved | 06/27/2024 |
| B | Maine | Agent | Approved | 06/27/2024 |
| B | Maryland | Agent | Approved | 06/27/2024 |
| B | Massachusetts | Agent | Approved | 06/27/2024 |
| B | Michigan | Agent | Approved | 06/27/2024 |
| B | Minnesota | Agent | Approved | 06/27/2024 |
| B | Mississippi | Agent | Approved | 06/27/2024 |

Broker Qualifications



Employment 3 of 4, continued

| | U.S. State/ Territory | Category | Status | Date |
|----|-----------------------|-----------------------------------|----------|------------|
| B | Missouri | Agent | Approved | 06/27/2024 |
| B | Montana | Agent | Approved | 06/27/2024 |
| B | Nebraska | Agent | Approved | 06/27/2024 |
| B | Nevada | Agent | Approved | 06/27/2024 |
| B | New Jersey | Agent | Approved | 06/27/2024 |
| B | New Mexico | Agent | Approved | 06/27/2024 |
| B | New York | Agent | Approved | 06/27/2024 |
| IA | New York | Investment Adviser Representative | Approved | 06/27/2024 |
| B | North Carolina | Agent | Approved | 06/27/2024 |
| B | North Dakota | Agent | Approved | 06/27/2024 |
| B | Ohio | Agent | Approved | 06/27/2024 |
| B | Oklahoma | Agent | Approved | 06/27/2024 |
| B | Oregon | Agent | Approved | 06/27/2024 |
| B | Pennsylvania | Agent | Approved | 04/23/2024 |
| IA | Pennsylvania | Investment Adviser Representative | Approved | 04/23/2024 |
| B | Rhode Island | Agent | Approved | 06/27/2024 |
| B | South Carolina | Agent | Approved | 06/27/2024 |
| B | South Dakota | Agent | Approved | 06/27/2024 |
| B | Texas | Agent | Approved | 06/27/2024 |
| IA | Texas | Investment Adviser Representative | Approved | 06/27/2024 |
| B | Utah | Agent | Approved | 06/27/2024 |



Broker Qualifications

Employment 3 of 4, continued

| | U.S. State/ Territory | Category | Status | Date |
|---|-----------------------|----------|----------|------------|
| B | Vermont | Agent | Approved | 06/27/2024 |
| B | Virginia | Agent | Approved | 06/27/2024 |
| B | Washington | Agent | Approved | 06/27/2024 |
| B | West Virginia | Agent | Approved | 06/27/2024 |
| B | Wyoming | Agent | Approved | 06/27/2024 |

Branch Office Locations

FEDERATED SECURITIES CORP.

1001 LIBERTY AVENUE
PITTSBURGH, PA 15222-3779

FEDERATED SECURITIES CORP.

Irwin, PA

Employment 4 of 4

Firm Name: **MDT ADVISERS, A DIVISION OF FEDERATED MDTA LLC**

Main Office Address: **125 HIGH STREET
OLIVER STREET TOWER, 21ST FLOOR
BOSTON, MA 02110**

Firm CRD#: **109355**

| | U.S. State/ Territory | Category | Status | Date |
|----|-----------------------|-----------------------------------|----------|------------|
| IA | Illinois | Investment Adviser Representative | Approved | 06/27/2024 |
| IA | Massachusetts | Investment Adviser Representative | Approved | 07/03/2024 |
| IA | New York | Investment Adviser Representative | Approved | 06/27/2024 |
| IA | Texas | Investment Adviser Representative | Approved | 06/27/2024 |

Broker Qualifications



Employment 4 of 4, continued

Branch Office Locations

125 HIGH STREET
OLIVER STREET TOWER, 21ST FLOOR
BOSTON, MA 02110

Irwin, PA

1001 Liberty Avenue
Pittsburgh, PA 15222



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

| Exam | Category | Date |
|--------------------------|----------|------|
| No information reported. | | |

General Industry/Product Exams

| Exam | Category | Date |
|------------------------------------------------------------|------------|------------|
| <div>B</div> General Securities Representative Examination | Series 7TO | 03/08/2024 |
| <div>B</div> Securities Industry Essentials Examination | SIE | 08/11/2020 |

State Securities Law Exams

| Exam | Category | Date |
|-------------------------------------------------------------------|-----------|------------|
| <div>B</div> <div>IA</div> Uniform Combined State Law Examination | Series 66 | 04/18/2024 |

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

| Registration Dates | Firm Name | CRD# | Branch Location |
|--------------------------|-----------|------|-----------------|
| No information reported. | | | |

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

| Employment | Employer Name | Position | Investment Related | Employer Location |
|-------------------|------------------------------------------------------|------------------------------------|--------------------|----------------------------------|
| 06/2024 - Present | Federated Global Investment Management Corp. | Investment Adviser Rep. | Y | New York, NY, United States |
| 06/2024 - Present | Federated Investment Counseling | Investment Adviser Rep. | Y | Pittsburgh, PA, United States |
| 06/2024 - Present | MDT Advisers, A Division of Federated MDTA LLC | Investment Adviser Rep. | Y | Boston, MA, United States |
| 01/2024 - Present | Federated Hermes Inc. | Client Engagement Center Associate | Y | Pittsburgh, PA, United States |
| 01/2024 - Present | Federated Securities Corp. | Client Engagement Center Associate | Y | Pittsburgh, PA, United States |
| 09/2022 - Present | Revolution Mortgage | Loan Officer | N | Charlotte, NC, United States |
| 03/2021 - 09/2022 | Prime Line Capital | Loan Officer | N | Charlotte, NC, United States |
| 10/2020 - 03/2021 | Unemployed | Unemployed | N | Charlotte, NC, United States |
| 06/2020 - 10/2020 | LPL Financial, LLC | Registered Representative | Y | Export, PA, United States |
| 06/2020 - 10/2020 | YTS Wealth Management (LPL Financial) | Administrative Assistant | Y | Export, PA, United States |
| 06/2020 - 10/2020 | YTS Wealth Management (Lincoln Financial Securities) | Admin | Y | Export, PA, United States |
| 08/2017 - 05/2020 | Slippery Rock University of Pennsylvania | Student | N | Slippery Rock, PA, United States |



Registration and Employment History

Employment History, continued

| Employment | Employer Name | Position | Investment Related | Employer Location |
|-------------------|----------------------------------------|---------------------------------------|--------------------|------------------------------------|
| 05/2019 - 08/2019 | Federated Investors | Internal Sales Intern | Y | Pittsburgh, PA, United States |
| 05/2018 - 05/2018 | Federated Investors | Client Contract Administration Intern | Y | Pittsburgh, PA, United States |
| 08/2016 - 05/2017 | West Moreland County Community College | Student | N | Youngwood, PA, United States |
| 06/2016 - 08/2016 | American Eagle Outfitters | Sales Associate | N | grove city, PA, United States |
| 08/2012 - 05/2016 | Norwin HighSchool | Student | N | North Huntindon, PA, United States |

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

No information reported.

End of Report



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