

BrokerCheck Report

Kurt Thomas Jolly

CRD# 7356004

Section Title

Page(s)

Report Summary

1

Broker Qualifications

2 - 4

Registration and Employment History

6 - 7



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:

- information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
- information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

Kurt T. Jolly

CRD# 7356004

Currently employed by and registered with the following Firm(s):**IA WEST MICHIGAN ADVISORS**

301 HOOVER BLVD.
SUITE 300
HOLLAND, MI 49423
CRD# 312073
Registered with this firm since: 12/02/2024

B LEVEL FOUR FINANCIAL, LLC

301 HOOVER BLVD.
SUITE 300
Holland, MI 49423
CRD# 25700
Registered with this firm since: 12/18/2024

Report Summary for this Broker



This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 1 U.S. state or territory

This broker has passed:

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

Registration History

This broker was previously registered with the following securities firm(s):**IA RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC**

CRD# 149018
SAINT PETERSBURG, FL
02/2023 - 12/2024

B RAYMOND JAMES FINANCIAL SERVICES, INC.

CRD# 6694
CHELSEA, MI
01/2023 - 12/2024

Broker Qualifications



Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 1 U.S. state or territory through his or her employer.

Employment 1 of 2

Firm Name: **LEVEL FOUR FINANCIAL, LLC**

Main Office Address: **12400 COIT ROAD
SUITE 700
DALLAS, TX 75251**

Firm CRD#: **25700**

SRO	Category	Status	Date
B FINRA	General Securities Representative	Approved	12/18/2024
U.S. State/ Territory	Category	Status	Date
B Michigan	Agent	Approved	12/18/2024

Branch Office Locations

LEVEL FOUR FINANCIAL, LLC
301 HOOVER BLVD.
SUITE 300
Holland, MI 49423

Employment 2 of 2

Firm Name: **WEST MICHIGAN ADVISORS**

Main Office Address: **301 HOOVER BLVD.
SUITE 300
HOLLAND, MI 49423**

Firm CRD#: **312073**

Broker Qualifications



Employment 2 of 2, continued

U.S. State/ Territory	Category	Status	Date
IA Michigan	Investment Adviser Representative	Approved	12/02/2024

Branch Office Locations

301 HOOVER BLVD.
SUITE 300
HOLLAND, MI 49423

Broker Qualifications



Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B General Securities Representative Examination	Series 7TO	01/30/2023
B Securities Industry Essentials Examination	SIE	01/10/2022

State Securities Law Exams

Exam	Category	Date
B IA Uniform Combined State Law Examination	Series 66	12/08/2022

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.

Registration and Employment History



Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
IA 02/2023 - 12/2024	RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC	149018	Chelsea, MI
B 01/2023 - 12/2024	RAYMOND JAMES FINANCIAL SERVICES, INC.	6694	CHELSEA, MI

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
12/2024 - Present	LEVEL FOUR FINANCIAL, LLC	REGISTERED REPRESENTATIVE	Y	DALLAS, TX, United States
12/2024 - Present	West Michigan Advisors	Financial Advisor	Y	Holland, MI, United States
02/2022 - Present	Community Pool	Lifeguard	N	Chelsea, MI, United States
09/2023 - 12/2024	Not Provided	Independent Contractor	N	Jackson, MI, United States
02/2023 - 12/2024	Raymond James Financial Services Advisors, Inc.	Investment Advisor Representative	Y	Chelsea, MI, United States
02/2022 - 12/2024	Raymond James Financial Services, Inc.	Registered Representative	Y	Chelsea, MI, United States
01/2022 - 02/2022	Raymond James Financial Services, Inc.	NRF	Y	Chelsea, MI, United States
06/2021 - 01/2022	Northwestern Mutual	financial representative	Y	Grand Haven, MI, United States
06/2021 - 08/2021	unemployed	unemployed summer of COVID 2020	N	Holland, MI, United States
08/2020 - 06/2021	Albion College	student	N	Albion, MI, United States
08/2019 - 06/2020	Albion College	student	N	Albion, MI, United States
05/2019 - 08/2019	Travis Pointe Country Club	lifeguard	N	Ann Arbor, MI, United States

Registration and Employment History



Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
08/2018 - 05/2019	Albion College	student	N	Albion, MI, United States
05/2018 - 08/2018	Travis Pointe Country Club	lifeguard	N	Ann Arbor, MI, United States
08/2017 - 05/2018	Albion College	student	N	Albion, MI, United States
05/2017 - 08/2017	Travis Pointe Country Club	lifeguard	N	Ann Arbor, MI, United States
08/2016 - 05/2017	Chelsea High School	student	N	Chelsea, MI, United States
05/2016 - 08/2016	Travis Pointe Country Club	lifeguard	N	Ann Arbor, MI, United States
08/2015 - 05/2016	Chelsea High School	student	N	Chelsea, MI, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

- 1.) Kurt Jolly is dually registered as an Investment Advisor Representative with West Michigan Advisors ("WMA" - since 12/2024) and Registered Representative with Level Four Financial, LLC (since 12/2024). Business is conducted from 301 Hoover Blvd., Suite 300 Holland, Michigan 49423. Approximately 90% of Mr. Jolly's time will be for services as an Investment Advisor Representative and 10% as a Registered Representative.
- 2.) Swim Coaching/Officiating - Independent contractor - Non-Investment Related - Coaching and officiating for regional and national swimming events in various capacities. 10-15 hours per month, not during trading hours.
- 3.) Junior Varsity Golf Coach, West Ottawa School District - Independent Contractor - Non-Investment Related - Teach the fundamentals of golf, teach how to play competitive golf, and facilitate the transportation to and from golf matches. 10% of time spent on this activity, none during trading hours.
- 4.) Holland Education Foundation, non-profit, not investment related, 501(c)3 organization to raise funds to better Holland Public Schools, started 04/01/2025, devote approximately 10 hours per month outside of trading hours, Board Member, located in Holland, MI.
- 5.) Kiwanis Club, 501(c)3 Service Group, not investment related, Member, provide community service, located in Holland, MI, devote approximately 3 hours per month to the entity outside of trading hours.

End of Report



This page is intentionally left blank.