BrokerCheck Report

KENNETH SAMUEL JENKINS JR

CRD# 7501929

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Please be aware that fraudsters may link to BrokerCheck from phishing and similar scam websites, trying to steal your personal information or your money. Make sure you know who you're dealing with when investing, and contact FINRA with any concerns.

For more information read our investor alert on imposters.

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**
- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- **Where did this information come from?**
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- **How current is this information?**
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- **What if I want to check the background of an investment adviser firm or investment adviser representative?**
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- **Are there other resources I can use to check the background of investment professionals?**
- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.
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Thank you for using FINRA BrokerCheck.

Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org

For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

KENNETH S. JENKINS JR

CRD# 7501929

Currently employed by and registered with the following Firm(s):

B **WELLS FARGO CLEARING SERVICES, LLC**
3041 NE PINE ISLAND RD
CAPE CORAL, FL 33909
CRD# 19616
Registered with this firm since: 05/03/2022

IA **WELLS FARGO ADVISORS**
3041 NE PINE ISLAND RD
CAPE CORAL, FL 33909
CRD# 19616
Registered with this firm since: 07/07/2022

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 11 Self-Regulatory Organizations
- 11 U.S. states and territories

This broker has passed:

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

Registration History

This broker was previously registered with the following securities firm(s):

No information reported.

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

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Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 11 SROs and is licensed in 11 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **WELLS FARGO CLEARING SERVICES, LLC**

Main Office Address: **ONE NORTH JEFFERSON AVENUE**
MAIL CODE: H0004-063
ST. LOUIS, MO 63103-2205

Firm CRD#: **19616**

	SRO	Category	Status	Date
B	Cboe BZX Exchange, Inc.	General Securities Representative	Approved	05/03/2022
B	Cboe Exchange, Inc.	General Securities Representative	Approved	05/03/2022
B	FINRA	General Securities Representative	Approved	05/03/2022
B	NYSE American LLC	General Securities Representative	Approved	05/03/2022
B	NYSE Arca, Inc.	General Securities Representative	Approved	05/03/2022
B	NYSE Chicago, Inc.	General Securities Representative	Approved	05/03/2022
B	Nasdaq GEMX, LLC	General Securities Representative	Approved	05/03/2022
B	Nasdaq ISE, LLC	General Securities Representative	Approved	05/03/2022
B	Nasdaq PHLX LLC	General Securities Representative	Approved	05/03/2022
B	Nasdaq Stock Market	General Securities Representative	Approved	05/03/2022
B	New York Stock Exchange	General Securities Representative	Approved	05/03/2022

	U.S. State/ Territory	Category	Status	Date
B	Arizona	Agent	Approved	09/21/2023
B	Connecticut	Agent	Approved	02/27/2023

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Broker Qualifications

Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	Florida	Agent	Approved	05/04/2022
IA	Florida	Investment Adviser Representative	Approved	07/07/2022
B	Georgia	Agent	Approved	07/13/2022
B	Idaho	Agent	Approved	08/08/2023
B	Minnesota	Agent	Approved	03/28/2023
B	New Jersey	Agent	Approved	02/01/2024
B	New York	Agent	Approved	02/01/2024
B	Pennsylvania	Agent	Approved	02/01/2024
B	South Dakota	Agent	Approved	02/06/2024
B	West Virginia	Agent	Approved	04/13/2023

Branch Office Locations

WELLS FARGO CLEARING SERVICES, LLC
3041 NE PINE ISLAND RD
CAPE CORAL, FL 33909

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Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam		Category	Date
	No information reported.		

General Industry/Product Exams

Exam		Category	Date
B	General Securities Representative Examination	Series 7TO	05/03/2022
B	Securities Industry Essentials Examination	SIE	03/11/2022

State Securities Law Exams

Exam		Category	Date
B IA	Uniform Combined State Law Examination	Series 66	07/06/2022

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

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Broker Qualifications

Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.

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Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
No information reported.			

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
02/2022 - Present	WELLS FARGO BANK, NA	BANKER	Y	CAPE CORAL, FL, United States
02/2022 - Present	WELLS FARGO CLEARING SERVICES, LLC	REGISTERED REP	Y	CAPE CORAL, FL, United States
07/2021 - 01/2022	Synovus Bank	Vice President Market Manager	N	Fort Myers, FL, United States
04/2019 - 07/2021	Bank of America	AVP Small Business	N	Cape Coral, FL, United States
03/2018 - 04/2019	United Fidelity Bank	AVP Business Development	N	Fort Myers, FL, United States
03/2014 - 03/2018	Fifth Third / Vantiv	Regional Merchant Account Executive, Personal Banker, Financial Center Manager Associate, AVP Financial Center Manager	N	Cape Coral, FL, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

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Registration and Employment History

Other Business Activities, continued

LEE COUNTY SCHOOL BOARD, INVT RELATED, FORT MYERS, FL, FINANCE ADVISORY COMMITTEE, START DATE 6/1/2021, 1.5 HRS PER MONTH, 0 HRS DURING TRADING, ATTENDING MONTHLY MEETINGS DECIDE TO HELP STEER THE FINANCIAL INITIATIVES OF THE DISTRICT WHICH ARE MOSTLY RELATED BUILDING COSTS BOTH NEW AND RENOVATIONS.PROVIDING INPUT, ADVICE AND SUPPORT IN PREPARATION OF THE OPERATING AND CAPITAL BUDGET FOR THE SCHOOL DISTRICT.

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End of Report

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