

BrokerCheck Report

Lawson Machicao Stroble

CRD# 7538907

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When communicating online or investing with any professional, make sure you know who you're dealing with. <u>Imposters</u> might link to sites like BrokerCheck from <u>phishing</u> or similar scam websites, or through <u>social media</u>, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

What is included in a BrokerCheck report?

- BrokerCheck reports for individual brokers include information such as employment history, professional
 qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck
 reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the
 same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- Where did this information come from?
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - o information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - o information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- How current is this information?
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- What if I want to check the background of an investment adviser firm or investment adviser representative?
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- Are there other resources I can use to check the background of investment professionals?
- FINRA recommends that you learn as much as possible about an investment professional before deciding
 to work with them. Your state securities regulator can help you research brokers and investment adviser
 representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

www.finra.org/brokercheck
User Guidance

Lawson M. Stroble

CRD# 7538907

Currently employed by and registered with the following Firm(s):

EQUITABLE ADVISORS, LLC 6731 W 121 STREET LEAWOOD, KS 66209 CRD# 6627

Registered with this firm since: 08/22/2023

B EQUITABLE ADVISORS, LLC 6731 W 121 STREET LEAWOOD, KS 66209 CRD# 6627 Registered with this firm since: 06/27/2023

Report Summary for this Broker



This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 37 U.S. states and territories

This broker has passed:

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

Registration History

This broker was previously registered with the following securities firm(s):

No information reported.

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? No

Broker Qualifications



Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 37 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: EQUITABLE ADVISORS, LLC

Main Office Address: 1345 AVENUE OF THE AMERICAS

NEW YORK, NY 10105

Firm CRD#: **6627**

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	06/27/2023
	U.S. State/ Territory	Category	Status	Date
B	Arkansas	Agent	Approved	12/17/2024
IA	Arkansas	Investment Adviser Representative	Approved	12/17/2024
B	California	Agent	Approved	10/30/2024
IA	California	Investment Adviser Representative	Approved	06/10/2025
B	Colorado	Agent	Approved	01/23/2025
B	Delaware	Agent	Approved	01/23/2025
B	District of Columbia	Agent	Approved	01/23/2025
IA	District of Columbia	Investment Adviser Representative	Approved	04/04/2025
B	Florida	Agent	Approved	10/30/2024
IA	Florida	Investment Adviser Representative	Approved	07/07/2025
B	Georgia	Agent	Approved	01/23/2025
B	Hawaii	Agent	Approved	01/23/2025

Broker Qualifications



Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
IA	Hawaii	Investment Adviser Representative	Approved	03/19/2025
В	Illinois	Agent	Approved	01/23/2025
IA	Illinois	Investment Adviser Representative	Approved	02/06/2025
B	Indiana	Agent	Approved	04/14/2025
IA	Indiana	Investment Adviser Representative	Approved	05/06/2025
B	Iowa	Agent	Approved	01/23/2025
B	Kansas	Agent	Approved	08/09/2023
IA	Kansas	Investment Adviser Representative	Approved	08/22/2023
B	Maryland	Agent	Approved	02/25/2025
B	Massachusetts	Agent	Approved	01/23/2025
B	Michigan	Agent	Approved	03/11/2025
B	Minnesota	Agent	Approved	01/23/2025
B	Missouri	Agent	Approved	08/14/2023
IA	Missouri	Investment Adviser Representative	Approved	04/21/2025
B	Nebraska	Agent	Approved	01/23/2025
B	Nevada	Agent	Approved	01/23/2025
B	New Hampshire	Agent	Approved	01/23/2025
B	New Jersey	Agent	Approved	01/23/2025
B	New Mexico	Agent	Approved	01/23/2025
B	New York	Agent	Approved	10/30/2024
IA	New York	Investment Adviser Representative	Approved	01/22/2025

Broker Qualifications



Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
В	North Carolina	Agent	Approved	01/23/2025
B	North Dakota	Agent	Approved	01/23/2025
B	Oklahoma	Agent	Approved	01/23/2025
IA	Oklahoma	Investment Adviser Representative	Approved	04/04/2025
B	Oregon	Agent	Approved	01/23/2025
IA	Oregon	Investment Adviser Representative	Approved	02/06/2025
B	Pennsylvania	Agent	Approved	10/30/2024
IA	Pennsylvania	Investment Adviser Representative	Approved	04/30/2025
B	South Carolina	Agent	Approved	02/28/2025
B	South Dakota	Agent	Approved	01/23/2025
B	Tennessee	Agent	Approved	03/19/2025
B	Texas	Agent	Approved	10/30/2024
IA	Texas	Investment Adviser Representative	Approved	03/14/2025
B	Utah	Agent	Approved	10/30/2024
B	Virginia	Agent	Approved	10/30/2024
B	Washington	Agent	Approved	01/23/2025
B	Wisconsin	Agent	Approved	01/23/2025
IA	Wisconsin	Investment Adviser Representative	Approved	03/20/2025
В	Wyoming	Agent	Approved	01/23/2025

Branch Office Locations

Broker Qualifications



Employment 1 of 1, continued EQUITABLE ADVISORS, LLC 6731 W 121 STREET LEAWOOD, KS 66209

Broker Qualifications



Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam		Category	Date
	No information reported.		

General Industry/Product Exams

Exam		Category	Date
B	General Securities Representative Examination	Series 7TO	06/27/2023
B	Securities Industry Essentials Examination	SIE	05/24/2023

State Securities Law Exams

Exam	Category	Date
B IA Uniform Combined State Law Examination	Series 66	08/07/2023

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.

Registration and Employment History



Registration History

The broker previously was registered with the following firms:

Registration Dates Firm Name CRD# Branch Location

No information reported.

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
10/2024 - Present	EQUITABLE	EMPLOYEE	Υ	OVERLAND PARK, KS, United States
06/2023 - Present	Equitable Advisors, LLC	Registered Representative	Υ	New York, NY, United States
08/2022 - 06/2023	Unemployed	Unemployed	N	Overland Park, KS, United States
08/2019 - 05/2023	University of Kansas	Student	N	Lawrence, KS, United States
06/2022 - 08/2022	Northwestern Mutual	Intern	N	Chesterfield, KS, United States
06/2021 - 06/2022	Raising Canes	Fry cook and meal prep	N	Overland Park, KS, United States
12/2020 - 01/2021	Jose Peppers	Waiter	N	Overland Park, KS, United States
05/2020 - 08/2020	Amazon Fullfillment Center	Inbound/Outbound	N	Gardner, KS, United States
05/2019 - 08/2019	Luther's BBQ	Bus Boy	N	Kansas city, MO, United States
08/2015 - 08/2019	Blue Valley West	Student	N	Overland Park, KS, United States
06/2018 - 08/2018	Horse Ranch	Helper	N	Kansas City, MO, United States

Registration and Employment History



Employment History, continued

Employment	Employer Name	Position	Investment Related	Employer Location
07/2017 - 08/2017	Overland Park Stonegate Pool	lifeguard	N	Overland Park, KS, United States
05/2016 - 08/2016	Overland Park Bluejacket Pool	lifeguard	N	Overland Park, KS, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

CHILDRENS CENTER FOR VISUALLY IMPAIRED CHILDREN; KANSAS CITY, MO; BOARD MEMBER; START DATE 04/2024; APPROX. 1 HR/MO.

End of Report



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