BrokerCheck Report

Taylor Gahagen

CRD# 7831761

Section Title | **Page(s)**

About BrokerCheck®

BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**
- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.
- **Where did this information come from?**
- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
  - information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
  - information that regulators report regarding disciplinary actions or allegations against firms or brokers.
- **How current is this information?**
- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.
- **What if I want to check the background of an investment adviser firm or investment adviser representative?**
- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at https://www.adviserinfo.sec.gov. In the alternative, you may search the IAPD website directly or contact your state securities regulator at http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414.
- **Are there other resources I can use to check the background of investment professionals?**
- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.
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Thank you for using FINRA BrokerCheck.

Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org

For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

Taylor Gahagen

CRD# 7831761

Currently employed by and registered with the following Firm(s):

B **OSAIC WEALTH, INC.**
5930 MAIN ST SUITE 200
WILLIAMSVILLE, NY 14221
CRD# 23131
Registered with this firm since: 06/14/2024

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 19 U.S. states and territories

This broker has passed:

- 0 Principal/Supervisory Exams
- 2 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History

This broker was previously registered with the following securities firm(s):

B **SECURITIES AMERICA, INC.**
CRD# 10205
WILLIAMSVILLE, NY
02/2024 - 06/2024

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

Broker Qualifications

Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 19 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **OSAIC WEALTH, INC.**

Main Office Address: **18700 N. HAYDEN ROAD**
SUITE 255
SCOTTSDALE, AZ 85255

Firm CRD#: **23131**

	SRO	Category	Status	Date
B	FINRA	General Securities Representative	Approved	06/14/2024

	U.S. State/ Territory	Category	Status	Date
B	California	Agent	Approved	06/14/2024
B	Colorado	Agent	Approved	06/14/2024
B	Florida	Agent	Approved	06/14/2024
B	Illinois	Agent	Approved	06/14/2024
B	Kentucky	Agent	Approved	06/14/2024
B	Maine	Agent	Approved	06/14/2024
B	Maryland	Agent	Approved	06/14/2024
B	Massachusetts	Agent	Approved	06/14/2024
B	Michigan	Agent	Approved	06/14/2024
B	Missouri	Agent	Approved	06/14/2024
B	Nevada	Agent	Approved	06/14/2024
B	New York	Agent	Approved	06/14/2024

Broker Qualifications

Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	North Carolina	Agent	Approved	06/14/2024
B	Ohio	Agent	Approved	06/14/2024
B	Pennsylvania	Agent	Approved	06/14/2024
B	Texas	Agent	Approved	06/14/2024
B	Utah	Agent	Approved	06/14/2024
B	Vermont	Agent	Approved	06/14/2024
B	Virginia	Agent	Approved	06/14/2024

Branch Office Locations

OSAIC WEALTH, INC.
5930 MAIN ST SUITE 200
WILLIAMSVILLE, NY 14221

Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	General Securities Representative Examination	Series 7TO	01/31/2024
B	Securities Industry Essentials Examination	SIE	06/17/2023

State Securities Law Exams

	Exam	Category	Date
B IA	Uniform Combined State Law Examination	Series 66	05/14/2026
B	Uniform Securities Agent State Law Examination	Series 63	02/26/2024

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications

Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
B 02/2024 - 06/2024	SECURITIES AMERICA, INC.	10205	WILLIAMSVILLE, NY

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
06/2024 - Present	OSAIC WEALTH, INC.	Mass Transfer	Y	WILLIAMSVILLE, NY, United States
11/2023 - 06/2024	Securities America, Inc.	Registered Rep	Y	WILLIAMSVILLE, NY, United States
09/2017 - 12/2023	ADPRO Sports	VP	N	Amherst, NY, United States
10/2013 - 06/2023	Pegula Sports & Entertainment	Executive	N	Boca Raton, FL, United States
08/2020 - 05/2023	East Management Services	Senior Investment Analyst	Y	Boca Raton, FL, United States

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

1. Ready 24
POSITION: VP NATURE: My wife's skincare company. INVESTMENT RELATED: No Hours: 0 Securities Trading Hours: 0 Start Date: 09/01/2017
ADDRESS: 7777 NW Beacon Square Blvd, Boca Raton FL 33487
DESCRIPTION: Listed as an officer with no day to day responsibilities

2. A LENDING PAW CORPORATION
POSITION: President NATURE: It is a non-profit. INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0
START DATE: 06/01/2018
ADDRESS: 5651 Main St, Suite 152, Williamsville FL 14221

Registration and Employment History

Other Business Activities, continued

DESCRIPTION: Connect with other charities in spare time.

3. DRAGOS CAPITAL
POSITION: Owner/Partner NATURE: Business acquisition company LLC. INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 06/01/2023
ADDRESS: 1901 Military Rd, Buffalo NY 14217
DESCRIPTION: Advisor role. Other partners operate.

4. MDT Management LLC
POSITION: Owner NATURE: Personal LLC INVESTMENT RELATED: No # OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 05/24/2018
ADDRESS: 8903 Glades Rd Ste A8 #6024, Boca Raton, FL 33434
DESCRIPTION: Personal LLC for arbitrary consulting.

5. JLP TENNIS LLC
POSITION: Registered Officer NATURE: Tennis company LLC. INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 09/01/2022
ADDRESS: 7777 NW Beacon Square Blvd, Boca Raton FL 33487
DESCRIPTION: Listed as an officer. No day-to-day responsibilities.

6. DBA - AGARWOOD WEALTH

7. READY 24 LLC
POSITION: Registered Officer NATURE: It is a skincare company LLC. INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 09/01/2017
ADDRESS: 7777 NW Beacon Square Blvd, Boca Raton FL 33487
DESCRIPTION: Listed as an officer with no day-to-day responsibilities.

8. MDT MANAGEMENT LLC
POSITION: Owner NATURE: Business consulting LLC. INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 05/24/2018
ADDRESS: 17554 Wagon Wheel Dr, Boca Raton FL 33496
DESCRIPTION: No day-to-day responsibilities.

9. ELITE CLEAN LLC
POSITION: Investor NATURE: Cleaning business real estate holding LLC. INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 06/01/2023
ADDRESS: 1901 Military Rd, Buffalo NY 14221
DESCRIPTION: Investor with no responsibilities.

10. SUNFLOWER SOLUTIONS LLC
POSITION: Investor NATURE: Cleaning business LLC. INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 06/01/2023

Registration and Employment History

Other Business Activities, continued

ADDRESS: 1901 Military Rd, Buffalo NY 14221
DESCRIPTION: Investor with no day-to-day responsibilities.

11. INTREPID SPORTS GROUP, INC.
POSITION: Owner/Advisor NATURE: It is a tennis industry project. The business is incorporated. INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 07/01/2024
ADDRESS: PO Box 1632, Charleston SC 29402
DESCRIPTION: Listed as an advisor with no day-to-day responsibilities.

23. A LENDING PAW CORPORATION
POSITION: President NATURE: It is a non-profit. INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 06/01/2018
ADDRESS: 8903 Glades Rd Ste A8 #6024, Boca Raton FL 33434, United States
DESCRIPTION: Connect withothercharities in spare time.

End of Report

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