

BrokerCheck Report

JOSIAH JACOB Campbell

CRD# 7857099

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.

About BrokerCheck®



BrokerCheck offers information on all current, and many former, registered securities brokers, and all current and former registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

- BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.
- Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

- The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:
 - o information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
 - o information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

- Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

- To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <https://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P455414>.

- **Are there other resources I can use to check the background of investment professionals?**

- FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.

Thank you for using FINRA BrokerCheck.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources. For more information about FINRA, visit www.finra.org.

JOSIAH J. Campbell

CRD# 7857099

Currently employed by and registered with the following Firm(s):

IA TRANSAMERICA FINANCIAL ADVISORS, LLC
 11830 Sebastian Way
 STE 104
 Rancho Cucamonga, CA 91730
 CRD# 16164
 Registered with this firm since: 04/12/2024

B TRANSAMERICA FINANCIAL ADVISORS, LLC
 11830 Sebastian Way
 STE 104
 Rancho Cucamonga, CA 91730
 CRD# 16164
 Registered with this firm since: 01/22/2024

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications**This broker is registered with:**

- 1 Self-Regulatory Organization
- 16 U.S. states and territories

This broker has passed:

- 0 Principal/Supervisory Exams
- 3 General Industry/Product Exams
- 2 State Securities Law Exams

Registration History**This broker was previously registered with the following securities firm(s):**

No information reported.

Disclosure Events

All individuals registered to sell securities or provide investment advice are required to disclose customer complaints and arbitrations, regulatory actions, employment terminations, bankruptcy filings, and criminal or civil judicial proceedings.

Are there events disclosed about this broker? **No**

Broker Qualifications



Registrations

This section provides the self-regulatory organizations (SROs) and U.S. states/territories the broker is currently registered and licensed with, the category of each license, and the date on which it became effective. This section also provides, for every brokerage firm with which the broker is currently employed, the address of each branch where the broker works.

This individual is currently registered with 1 SRO and is licensed in 16 U.S. states and territories through his or her employer.

Employment 1 of 1

Firm Name: **TRANSAMERICA FINANCIAL ADVISORS, LLC**

Main Office Address: **TWO LIBERTY PLACE
50 SOUTH 16TH STREET, SUITE 3700
PHILADELPHIA, PA 19102**

Firm CRD#: **16164**

	SRO	Category	Status	Date
B	FINRA	Invest. Co and Variable Contracts	Approved	01/22/2024
B	FINRA	General Securities Representative	Approved	09/17/2026

	U.S. State/ Territory	Category	Status	Date
B	Arizona	Agent	Approved	08/03/2026
B	California	Agent	Approved	01/31/2024
IA	California	Investment Adviser Representative	Approved	04/12/2024
B	Colorado	Agent	Approved	09/19/2025
B	Florida	Agent	Approved	03/18/2025
B	Georgia	Agent	Approved	07/26/2024
B	Hawaii	Agent	Approved	04/06/2026
B	Kentucky	Agent	Approved	07/29/2025
B	Louisiana	Agent	Approved	07/01/2025
B	Nevada	Agent	Approved	07/08/2024
B	New York	Agent	Approved	05/30/2024

Broker Qualifications



Employment 1 of 1, continued

	U.S. State/ Territory	Category	Status	Date
B	Ohio	Agent	Approved	12/09/2024
B	Pennsylvania	Agent	Approved	07/30/2026
B	Tennessee	Agent	Approved	07/17/2026
IA	Texas	Investment Adviser Representative	Approved	05/14/2024
B	Texas	Agent	Approved	05/16/2024
B	Virginia	Agent	Approved	09/11/2026
B	Washington	Agent	Approved	01/03/2025

Branch Office Locations

TRANSAMERICA FINANCIAL ADVISORS, LLC
11830 Sebastian Way
STE 104
Rancho Cucamonga, CA 91730



Broker Qualifications

Industry Exams this Broker has Passed

This section includes all securities industry exams that the broker has passed. Under limited circumstances, a broker may attain a registration after receiving an exam waiver based on exams the broker has passed and/or qualifying work experience. Any exam waivers that the broker has received are not included below. A passed exam or exam waiver does not permit a broker to do business without an active SRO or state registration.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B General Securities Representative Examination	Series 7TO	09/17/2026
B Investment Company Products/Variable Contracts Representative Examination	Series 6TO	01/22/2024
B Securities Industry Essentials Examination	SIE	11/23/2023

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination	Series 65	04/12/2024
B Uniform Securities Agent State Law Examination	Series 63	01/31/2024

Additional information about the above exams or other exams FINRA administers to brokers and other securities professionals can be found at www.finra.org/brokerqualifications/registeredrep/.

Broker Qualifications



Professional Designations

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration and Employment History

Registration History

The broker previously was registered with the following firms:

Registration Dates	Firm Name	CRD#	Branch Location
No information reported.			

Employment History

This section provides up to 10 years of an individual broker's employment history as reported by the individual broker on the most recently filed Form U4.

Please note that the broker is required to provide this information only while registered with FINRA or a national securities exchange and the information is not updated via Form U4 after the broker ceases to be registered. Therefore, an employment end date of "Present" may not reflect the broker's current employment status.

Employment	Employer Name	Position	Investment Related	Employer Location
09/2024 - Present	InheritGuard, LLC	none	Y	Sheridan, WY, United States
09/2024 - Present	Intertwined Solutions, Inc.	Referral Partner	Y	Newburgh, IN, United States
09/2024 - Present	The Lantern Network	Financial Literacy Facilitator	N	Brentwood, TN, United States
01/2024 - Present	TRANSAMERICA FINANCIAL ADVISORS, INC	Registered Representative	Y	RANCHO CUCAMONGA, CA, United States
05/2023 - Present	WFGIA	Agent	Y	RANCHO CUCAMONGA, CA, United States
09/2022 - Present	Student	Student	Y	LOS ANGELES, CA, United States
10/2022 - 01/2024	ASSOCIATED STUDENTS UCLA	CATERING CULINARY TEAM MEMBER	N	UCLA, CA, United States
02/2021 - 07/2023	GRACE CHAPEL	FACILITIES TEAM MEMBER	N	LANCASTER, CA, United States
06/2021 - 06/2022	Student	Student	N	LANCASTER, CA, United States
06/2020 - 06/2021	Student	Student	N	PALMDALE, CA, United States
08/2009 - 06/2020	Student	Student	N	WARNER ROBINS, GA, United States



Registration and Employment History

Other Business Activities

This section includes information, if any, as provided by the broker regarding other business activities the broker is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious or fraternal and is recognized as tax exempt.

Sales of insurance and non-insurance products, part-time or full-time, for companies affiliated with Transamerica Financial Advisors, LLC

INHERITGUARD, LLC

POSITION: none NATURE: Providing bundled professional services with an emphasis on estate planning services INVESTMENT RELATED: No
NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 1 START DATE: 09/01/2024
ADDRESS: 30 N Gould Street, Ste 41954, Sheridan WY 82801, United States
DESCRIPTION: Client communications, following up with client issues, providing education on estate planning issues, and scheduling appointments.

INTERTWINED SOLUTIONS, INC.

POSITION: Referral Partner NATURE: Financial Educational Services INVESTMENT RELATED: No NUMBER OF HOURS: 5 SECURITIES
TRADING HOURS: 0 START DATE: 09/12/2024
ADDRESS: 8437 Bell Oaks Dr, Suite 910, Newburgh IN 47630, United States
DESCRIPTION: In my role, I engage in several key activities to promote financial literacy through the partnership. These include identifying and liaising with bank partners under the Community Reinvestment Act to, reaching out to schools, colleges, and non-profit organizations to solicit interest in Intertwined, and coordinating with Intertwined staff to integrate our financial education resources effectively.

THE LANTERN NETWORK

POSITION: Financial Literacy Facilitator NATURE: Mentorship for College Students INVESTMENT RELATED: No NUMBER OF HOURS: 8
SECURITIES TRADING HOURS: 0 START DATE: 09/15/2024
ADDRESS: 330 Franklin Rd, Ste 135A-253, Brentwood TN 37027, United States
DESCRIPTION: In my role as a Learning Facilitator and volunteer contributor for The Lantern Network, I facilitate scheduled monthly virtual workshops and group coaching sessions focused on foundational financial literacy, career readiness, and youth mentorship. My primary responsibilities involve delivering educational presentations on personal finance topics, reviewing general curriculum materials, facilitating educational simulations, guiding mentees through career development exercises, and completing routine administrative follow-ups such as session attendance and feedback reporting. All activities are purely educational and conceptual in nature; I do not provide individualized financial planning, investment advice, or product recommendations, nor do I handle participant funds, or engage in securities-related transactions. These responsibilities are conducted entirely outside of normal securities trading hours--primarily during Thursday evenings and Saturday sessions--totaling approximately four to eight hours per month on a volunteer basis.

End of Report



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